

ALL INDIA COUNCIL FOR TECHNICAL EDUCATION

Format for Compliance Report for the year 2008-09 to be submitted alongwith Mandatory Disclosure

- *Last date of submission of Compliance Report – 31/08/2008*
- *Compliance Report to be submitted at the concerned Regional Office.*
- *Alongwith Data Sheet for extension of approval*



All India Council For Technical Education
7th Floor Chanderlok Building, Janpath, New Delhi - 110001

Phone: (011) 23724151 to 23724157 Fax: (011) 23724162/74/76

Website: www.aicte.ernet.in

Important information for filling up the compliance report

- *The institution is required to submit two copies of the Compliance Report as per prescribed format along with mandatory disclosure to the concerned Regional Office latest by 31st August 2008.*
- *The Compliance Report should be submitted alongwith a processing fee of Rs. 50,000/- in the form of demand draft in the favour of Member Secretary, AICTE, payable at New Delhi. The compliance reports without the processing fee will not be accepted.*
- *The information in the compliance report should be filled up strictly as per the prescribed format. Compliance Reports with incomplete information will not be accepted.*
- *All the annexures should be indexed with page numbers and signed by the authorized signatory of the institution.*

FORMAT FOR COMPLIANCE REPORT

All the existing technical institutions are required to submit the following information both in the form of hard and soft copy by 31/08/2008.

1 i) Name and Address of the Institution

Name	GYAN INSTITUTE OF MANAGEMENT AND TECHNOLOGY		
Address	Permanent Location as approved by AICTE	Temporary Location (if applicable)	
Village	Garhi crossing		
Taluk	Near Sports College		
District	Kursi Road , Lucknow		
Pin Code	226026		
State	Uttar Pradesh		
STD Code	0522	Phone No: 6590796	
Fax No.	0522-2890763	E-Mail: directors@gyaninstitute.com	
Nearest Rly Station	Lucknow Jn. Charbagh		
Nearest Airport	Amausi, Lucknow		
Web site	www.gyaninstitute.com		
File No with date of first approval :			

1 ii) Information regarding Mandatory Disclosure:

- a) Whether the Mandatory Disclosure is hoisted on the institutional website: Yes No
- b) If yes, web-site address on which Mandatory Disclosure is available: www.gyaninstitute.com
- c) Whether the faculty information provided in the Mandatory Disclosure is same as being submitted in the Compliance Report. Yes No
- d) Whether the information provided in the Mandatory Disclosure is being regularly updated. Yes No , Date on which the Mandatory Disclosure was last updated: 15/01/2008

1 iii) Whether the institution is operating at temporary location (if so provide details of permanent location alongwith survey no.)? NO

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2 i) Name and Address of the Society / Trust

Name	Gyan Charity Trust		
Address	Gyan Bhawan, 8 Kapoortahala , Aliganj, Lucknow		
Pin Code	226006	STD Code	0522
Phone No.	2324688, 232990	Fax No.	2324688
E-Mail	---	Web site	---

2 ii) Brief details regarding background of the Trust/Society, Governing body members, etc.

List enclosed annex – A

3 Name and Designation of the Head of the Institution (*Principal / Director*)

Name	Prof. R.K .Tripathi					
Designation	Director	Qualification & Experience	M.Com, Ph.D. : 45 Years	Highest Degree	Specialization	Total Experience
		Date of Birth	: 1.07.1944	Ph.D.	Accounting Finance	45 years
STD Code		Phone No. (O)	2890763	Fax No.	--	
STD Code		Phone No. (R)	2732626	Fax No.	---	
E-Mail		Mobile No.	9415100288	Date of joining the institution : 1.07.2007		

4. Type of Technical Institution (*Tick ✓ whichever is applicable*)

- | | | |
|------|----------------------------------------------------------------------------|-------------------------------------|
| i) | University Dept./Constituent College of University/Deemed to be University | <input type="checkbox"/> |
| ii) | Central / State Government | <input type="checkbox"/> |
| iii) | Government Aided | <input type="checkbox"/> |
| iv) | Self-Financing (Minority) | <input type="checkbox"/> |
| v) | Self-Financing (Non-Minority) | <input checked="" type="checkbox"/> |
| vi) | Any other (Please specify) | <input type="checkbox"/> |

5. Information on Establishment of the Institution

- | | | |
|------|----------------------------------------------------------|--------------------------|
| i) | Year of Establishment | 1998 |
| ii) | Date on which first approval was accorded by the Council | June 26,1998 |
| iii) | Year of Commencement of the first batch | 1998 |
| iv) | Details of Last extension letter with year of approval | F.No. UP – 106/MAP(M)/98 |
| | .No | Dated: 30/06/2008 |

6. Whether there is any change of Name of the Institution, Society / Trust and Location of the Institution after AICTE approval? If yes, enclose details

- i) Whether the name of the Society has been changed
If yes, give details Yes No
- ii) Whether the composition of the Society has been changed
If yes, give details Yes No
- iii) Whether the name of the Institution has been changed
If yes, give details Yes No
- iv) Whether the Institution is functioning at temporary site
If yes, give details Yes No
- v) Whether the Institution has changed its permanent location
If yes, give details Yes No

7. i) Whether there is any Court Case filed by the Institution against AICTE which is in progress? (Please tick (✓) appropriate box)

Yes No

If yes, then give details with name of the Court, Writ Petition No. Subject Matter and Latest Status.

_____ **N/A** _____

7. ii) Whether there is any case of Malpractices / Complaints/ or being penalized on account of non-submission of compliance within the cut-off-date, making excess admissions etc. against the Institution ? if yes, provide details

_____ **NO** _____

8. Name and Address of the Affiliating University

Name	UP Technical university		
Address	IET Campus, Sitapur Road, Lucknow		
Pin Code	226021	Period of Affiliation	2008-09
STD Code	0522	Phone No.	2732193
Fax No.	2732189	E-Mail/ Web site	uptu@rediffmail.com www.uptu.ac.in

9. i) AICTE approved existing course(s) of study during academic year 2008-2009

			AICTE Approved Intake during last 4 years								Status of Accreditation (Validity period)
Courses	1 st Year of approval by AICTE (give approval ref. no. & date)	2008-2009		2007-2008		2006-2007		2005-2006			
		Sanctioned intake	Actual admissions	Sanctioned intake	Actual admissions	Sanctioned intake	Actual admissions	Sanctioned intake	Actual admissions		
UG(FT)											
UG(PT)											
PG(FT)		UP106	120	120	90	90	60	60	60	60	
		MAP									
		(M)/									
		98									
		June 26									
PG(PT)											

FT: Full Time, PT: Part Time

Signature of Authorized Signatory with date

10. i) Whether the Institution is sharing its facilities / premises with any other Institution or running any unapproved Programmes? If yes, give details.

Yes

No

A. Name of the other Institutions, which are sharing the facilities

N/A

B. Unapproved course(s) functioning in the college premises, its duration and intake

N/A

S. No.	Courses	Approving Authority	Affiliating Body	Degree / Diploma / Certificate	Duration (Years)	Sanctioned Intake	Actual Admissions during 2008-09
TOTAL							

10 ii) Whether admissions under PIO / Foreign Nationals quota has been approved for your institution by the AICTE? If yes, give details. **NO**

S. No.	Courses	Sanctioned Intake 2008-2009	Actual Admissions

11. Status of Compliance of Specific Conditions / Deficiencies Communicated in the Last Approval/Extension of Approval by AICTE.

S.No.	Deficiencies Communicated / Specific Conditions	Compliance Report
1.	One more faculty need to be recruited before the start of next academic session	Appointment of additional faculty has already been made.

12. (i)Particulars of the Full Time Principal/Director of the institution

Name : **Prof. R. K. Tripathi**

Date of Birth : **01/07/1944**

Academic qualifications (with field of specialization) : **M.Com, Ph.D**

Accounting

Finance

Details of Experience (Academic / Industrial) : **45 years of teaching
experience**

Date of the appointment in the present institution : **01/07/2007**

12 ii) (c) Information about stability of the Faculty (separately for each Programme).

S. No.	Programme	Category	Period of appointment				Total
			Less than 6 Months	Between 6 Months to 1 year	Between 2 to 3 years	More than 3 years	
		Professors			1		
		Assistant Prof.				2	
		Lecturers	4	4	4		
		Others					

12 ii) (d) Mode of selection of faculty and staff:

Name of the newspapers in which advertisements are placed and their circulation status
Times of India, Dainik Jagran (Daily)

Constitution of the selection committee

Whether University representative is invited in the selection committee meeting. Yes **No**

12 ii) (e) Details of Technical / Administrative / supporting Staff

S.No	Category Staff	Number
1	Technical Supporting Staff a) Workshop Attendant b) Workshop Technician c) Laboratory Assistant d) Librarian e) Assistant Librarian f) Programmer g) System Analyst h) Others (Computer Lab in-charge, Lab Attendant etc)	1 1 1
2	Administrative Staff a) Administrative Officer b) Accounts Officer/Assistant Account Officer c) Clerks d) Others (Placement Officer)	1 1 2 1

13. Strengths & Weaknesses of the institution in terms of Teaching Methodology/Transaction of Syllabus /Innovations /best practices (if any)/ industrial project / Industrial consultancy / research taken up for industry and amount granted thereby:

14. Students data and pass % since last three years.

S.No.	Course	Year	Sanctioned Intake	Students Admitted	Students Passed out in first attempt	% of Students passed in first attempt	% of Students passing out with Distinction	% of Students with 1st Division	% of Students with IInd Division
1	MBA	2005	60	58	38	65.52	Nil	78.95	21.05
2	MBA	2006	60	48	14	29.17	Nil	28.57	71.43
3	MBA	2007	60	60	27	45	Nil	40.74	59.26

NOTE: Average result of two Semesters in case of Semester system

15. i) Total no. of students placed by the Institution through its Placement Cell (Discipline wise)

Year	Discipline	Total no. of students passed out (last 3 years)	Total no. of students placed through placement cell (last 3 years)
2005	MBA	46	33
2006	MBA	40	28
2007	MBA	45	32

15 ii) Provide details of companies/Industries, which visited the institute for placement since the last three years.

S.No.	Year	Name of the Company/Industry	Number of Students placed
1.	2005	India Bulls	12
		GE Capital	5
		Coca Cola	4
		Cipla Ltd.	7
		Eureka Forbs	5
2.	2006	ICICI Banks	6
		T.S. Motors	3
		Aviva Life Insurance	9
		India Bulls	7
		Karvi Consultants	3
3.	2007	N.J. India Investment (Pvt) Ltd.	6
		Country club	4
		Convergys	4
		IBM Daksh	5
		Global Mgmt. Solutions	10
		ICICI Prudential	3

18. **Details of Laboratories & Workshops** **N/A**

S.No	Name of the Course	Name of the laboratory/workshop	Total Area of lab/workshop	Major equipment

19. **Computer Facilities for the existing programme(s)**

S.No	Particulars	Requirements as per Norms (1:4 all undergraduate UG Programmes and 1:2 for MBA/MCA/ PGDM/ PGDBM)		Availability	
1.	No of Computer terminals	105		105	
2.	Hardware Specification	P- IV		P-IV	
3.	No of terminals of LAN/WAN	80		80	
4.	Relevant Legal Software	Application 4	System 2	4	2
5.	Peripheral(s)/ Printers	6		6	
6.	Internet Accessibility (in kbps & hrs)	256 kbps 2 hours per day			

Whether the computer facilities are suitable for the existing programmes ? Yes No

20. **Building**

1. Available Built up area per student

16.66 Sq. mts. Map enclosed

2. Total Built up Area for the existing programme(s)

3498.44

Particulars	Area required as per norms (Sq.M)	Building with RCC Roof (Sq.M)	Building with Sheet Roof (if suitable for Educational Institution) (Sq.M)	Total sanctioned intake (last 4 yrs. for Engg./Pharmacy/ HMCT/ Arch. etc. 2 yrs. MBA/ PGDBM and 3 yrs. for MCA)	Built up area per student	Total Area Available (Sq.M)
Instructional Area (Carpet Area)	600	2383.26		210	11.35	2383.26
Administrative Area (Carpet Area)	120	224.62		210	1.07	224.62
Amenities (Carpet Area)	240	450		210	2.14	450
Circulation & Others	288	440.56		210	2.10	440.56
Total	1248	3498.44		210	16.66	3498.44

21. **Instructional Area for the existing programme(s)**

Particulars	Number of rooms		Carpet area of each room	
	Requirement as per norms	Available in the institution	Requirement as per norms	Available in the Institution (Sq.M)
Class Rooms	4	8	66	66
Tutorial Hall	2	4	36	36
Drawing Hall (*)	--	--	--	--
Computer Centre	2	2	180	290
Library	1	1	100	100
Laboratories & workshops	--	--	--	--
Total	9	15	382	492

- ❖ Whether any academic activity is being carried out in the basement
If yes, give details. Yes No
- ❖ Whether a barrier free environment has been created in the building for Physically challenged persons. Yes No
- ❖ Whether the Classrooms, Tutorial hall, Drawing hall, Computer centre, Library, Laboratory and workshops are well equipped for the existing courses. Yes No

22. Land Availability

Land Category (Rural/ District Head Quarter/ State Capital/ Metropolitan city/ Mega City)	Area required as per Land Category (Acres)	Total Area available (Acres)
State Capital	0.5	3.27

- (a) Whether the said land is demarcated by fencing/ boundary wall for the institution (Tick ✓ appropriate box) Yes No
- (b) Whether the land is contiguous (Tick ✓ appropriate box) Yes No
- If Not, Number of plots Distance between the plots (Sq.M)
- (c) Whether the surroundings of the institution are suitable for educational purpose. Yes No

23. Availability of other facilities:

S.No.	Parameter	Availability
1	All Weather Approach Road (cemented / kuchha)	Cemented
2	Potable Water Supply System (own bore well / municipal corporation)	Own
3	Electrical Generator (5kv, 5-10 kv, 10-15 kv, more than 20 kv)	15 KV
4	Students' Canteen	Yes
5	Students' Common Room (Boys / Girls)	Yes
6	Hostel	Boys <input type="checkbox"/>
		Girls <input type="checkbox"/>
	If no hostel facility is available, whether arrangements have been made for boarding and lodging of students near to the institution, if yes mode of travel from the place of stay to the institution	Yes <input type="checkbox"/>
7	Principal's Quarters	Yes
8	Digital Library	Yes
9	Quarters for Faculty	Proposed
10	Guest House	Yes
11	Parking facilities	Yes
12	Medical facilities (full time / part time doctor / dispensary)	Yes
13	Insurance facilities	Yes
14	Telephone booth	Yes
15	Gymnasium /indoor / outdoor stadium	Yes
16	Rainwater-harvesting facilities are available	Yes
17	Post office facility	No
18	Bank facility	No
19	Transport facility for day scholars	Yes
20.	Reprographic facilities in the Institutions.	Yes
21.	Barrier free environment for physically challenged.	Yes

24. Fee Structure of the Institution

S.No.	Category	CET quota		Management quota	
		Fixed by the State Fee Committee	Being charged by the Institution	Fixed by the State Fee Committee	Being charged by the Institution
1.	Admission Fee	Nil	Nil	Nil	
2.	Tuition Fee	53400=00	53400=00	53400=00	53400=00
3.	University fee (Examination fee, Registration fee etc.)	2050=00 1500=00 1950=00			
4.	Hostel fee (Rent etc.)	1500=00			10000=00 per annum
5.	Laboratory fee				
6.	Library fee				
7.	Any other (Caution Money)		1000=00		
Total Fee					

25. Financial Position

(i) Whether applicant has opened a bank account in the name of the Society/ Trust for the existing institution Yes No

(ii) Source of income & expenditure during the last year

S.No.	Source of Income	Rs. (in lakhs)	Expenditure during the last year	Rs. (in lakhs)
1.	Central Government		Salary of Full-Time Faculty	26.26
2.	State Government		Salary for Visiting/Adjunct faculty	0.28
3.	University Grants Commission		Salary of Non-Teaching Staff	12.58
4.	Other Central/State Govt. Bodies		Library	8.29
5.	Private Trust		Computer Centre	8.21
6.	Donations		Equipments Labs and Workshops	--
7.	Student Fees	109.06	Building	18.81
8.	Internal Revenue Generation	8.00	Others (please specify)	--
9.	Others (please specify)			--
Total		117.06		74.43

(iii) Details of Operational funds

S.No.	Name of Bank With Branch & Full Address	Account No.	Cash Balance (in lakhs)	FDR, if any (Excluding joint FDR submitted to AICTE)	Total Amount (in lakhs)
1.	Canara Bank -Branch Aminabad Lucknow	CA3138	26.29		26.29
2.	Canara Bank -Branch Aminabad Lucknow	GA 8500	14.86		14.86
3.	Bank of Rajasthan - Branch --Aliganj Lucknow	CA301118736	17.62		17.62

Declaration:

It is certified that:

- a) Existing Courses are being conducted as per norms, standards and guidelines of the AICTE.
- b) All the physical deficiencies stated in the last approval letter have been complied with.
- c) The AICTE pay scales are being paid to the faculty members.
- d) The admissions are made on merit and no capitation fee or donation of any kind is charged for admission.
- e) The teaching faculty has been recruited as per qualifications and experience laid down by AICTE.
- f) The tuition and the other fee is being charged as prescribed by the Competent Authority.
- g) No new course has been started (since the last approval by AICTE) without prior approval of AICTE.
- h) The institution is not running any courses not approved by AICTE in the premises of the AICTE approved institution.
- h) The intake in any of the AICTE approved course has not been increased beyond the sanctioned intake, without prior approval of AICTE.

I/We solemnly declare that no information has been withheld and all the information provided in this Compliance Report is correct. If any information is found to be incorrect or false, I/We understand that proposal shall be liable for rejection.

Date:

Name and Signature of the Authorized Signatory of the institution with seal

Place:.....

List of Annexure's to be submitted along with the Compliance Report
(Annexures should be strictly submitted in the following order alongwith index and page numbers and signed by the authorized signatory).

Annexure 1 Copy of Mandatory Disclosure.

Annexure 2 Faculty & Staff

(A) Existing faculty:

The following documents should be submitted for each of the existing faculty members in the serial order as mentioned **in the section 12. ii b)** of the compliance report.

- 1) One page biodata alongwith attested passport size photographs (with details covering number of papers published, books written, summer winter schools attended, R&D projects undertaken etc.).
- 2) Copies of appointment letters with terms and conditions of appointment and joining report.
- 3) Acquittance roll of Faculty / Non-teaching staff for the current and previous year.
- 4) Salary register of faculty/proof of salary paid to the staff along with TDS records.

(B) Additional faculty appointed.

The following documents should be submitted for the additional faculty members appointed.

- 1) Copy of the advertisement.
- 2) Details of the number of candidates applied and called for interview.
- 3) Selection Committee minutes and recommendations.
- 4) Approval by the Governing body or board of governors.
- 5) One page biodata of the appointed candidates.
- 6) Appointment letter and joining letters of the appointed faculty.

(C) The institution is required to submit a statement signed by each faculty member stating that he / she has been appointed and is working exclusively for the AICTE approved programme in the institution.

(D) An affidavit from the Chairman of the Trust / Director / Principal of the institution stating that faculty members mentioned in the section 12 of the compliance report are exclusively teaching for the AICTE approved programme / institution is required to be submitted by the institution.

Annexure 3 Details of the Built-up Area.

- Details of instructional area, administrative area, amenities area & circulation area (excluding play grounds, residential area, parking space and open air theater) duly certified by Registered Architect.
- Approved building plan with total area of built-up space.
- Building completion certificate from competent authority.
- Details of proposed/under construction area. (if any)

Annexure 4 Photographs and Video CD

- The Institution is required to submit a group photograph with name underneath of all the faculty members and staff (Technical and Non-Technical, etc. separately) along with the head of the Institution.
- Photograph (color) of the building attested by the Chairman/Secretary of the Trust/Society.

Annexure 5 Correspondence related to AICTE Approval.

- Copy of the first approval of AICTE
- Copies of subsequent extension of approval letters of AICTE
- Latest Affiliation of University
- Details of reduction in intake last year, if any.
- Documents related to penal action against the Institution by the University/State/AICTE last year, if any.

Annexure 6 Details regarding workshop, laboratories, library and computers– Course-wise

- Stock Register of Library Books (copies of last five pages to be submitted)
- Usage register of books (copies of last five pages),
- Stock registers of Computers, equipment
- Internet facility, (Type and bandwidth details)
- Copies of Cover page of all journals (last six months) Cover Page of all Journals to bear the stamp of the institution.
- List of laboratories available with area of each lab and major equipments.

Annexure 7 Students data.

- Course-wise number of Students admitted in the previous year.
- Percentage of Pass in each course for the last three years. (A copy of the affiliating University Results of the last three years to be provided.)
- No. of students admitted under PIO / Foreign Nationals (with passport details and account and currency in which fees have been paid).

Annexure 8 Land details.

- A copy of original Land documents.

Annexure 9 Financial details

- Audited Statement of accounts of the institution
- Latest bank statement, funds available in the FDR and Saving Account/Current Account
- A copy of fee receipts with details of the fee being charged from the students.
- TDS Certificate in respect of the Income Tax deducted from salary of faculty members.